

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/690,873
	Filing Date	October 21, 2003
	First Named Inventor	Wu, Baogen
	Art Unit	1614
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	061877-5003US (021288-002120US)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request (See Remarks) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"><li>• Return postcard</li><li>• Copy of Statement under 3.73(b)</li><li>• Copy of Notice of Recordation Assignment with Assignment</li></ul>
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Morgan, Lewis & Bockius LLP Jeffry S. Mann	Reg. No. 42,837
Signature		
Date	February 10, 2005	

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Don Mixon		
Signature		Date	February 10, 2005



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PTO/SB/81 (02-01)  
Approved for use through 10/31/2002. OMB 0651-0035  
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<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	10/690,873
	<b>Filing Date</b>	October 21, 2003
	<b>First Named Inventor</b>	Wu, Baogen
	<b>Title</b>	Pyrrolidones With Anti-HIV Activity
	<b>Group Art Unit</b>	1614
	<b>Examiner Name</b>	Not Yet Assigned
	<b>Attorney Docket Number</b>	061877-5003US (021288-002120US)

I hereby appoint:

☒ Practitioners at Customer Number **47930** → Place Customer Number Bar Code Label here  
**OR**  
☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.  
**OR**

☐ Firm or Individual Name

Address

City State ZIP

Country

Telephone Fax

I am the:

☐ Applicant/Inventor.  
☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

**SIGNATURE of Applicant or Assignee of Record**

Name	Timothy L. Smith, J.D., Ph.D., Power of Attorney on behalf of IRM LLC
Signature	
Date	1/21/05

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

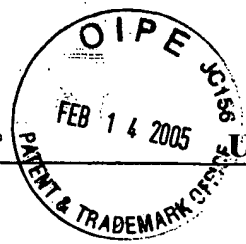
☒ \*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ETW, WC/Transferred



02288-02120US



UNITED STATES PATENT AND TRADEMARK OFFICE 61877-6003-US

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 06, 2004

PTAS  
TOWNSEND AND TOWNSEND AND CREW LLP  
JEFFRY S. MANN  
TWO EMBARCADERO CENTER, EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834



\*102725328A\*

RECEIVED RECORDS

OCT 22 2004  
JIM  
& DOCKETING CA OFFL

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/16/2004

REEL/FRAME: 015219/0339  
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
WU, BAOGEN

DOC DATE: 03/01/2004

ASSIGNOR:  
HE, YUN

DOC DATE: 03/03/2004

ASSIGNOR:  
NGUYEN, TRUC

DOC DATE: 04/09/2004

ASSIGNOR:  
ELLIS, DAVID ARCHER

DOC DATE: 02/16/2004

ASSIGNOR:  
KUHEN, KELLI L.

DOC DATE: 02/19/2004

ASSIGNOR:  
JIANG, TAO

DOC DATE: 02/10/2004

015219/0339 PAGE 2

ASSIGNOR:

HE, XIAOHUI

DOC DATE: 04/09/2004

ASSIGNOR:

YANG, KUNYONG

DOC DATE: 03/08/2004

ASSIGNOR:

BURSULAYA, BADRY

DOC DATE: 03/04/2004

ASSIGNEE:

IRM LLC

P.O. BOX HM 2388

HAMILTON HM LX, BERMUDA

SERIAL NUMBER: 10690873

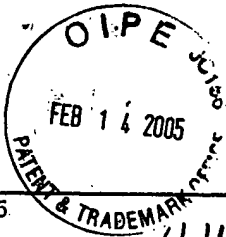
FILING DATE: 10/21/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: PYRROLIDONES WITH ANTI-HIV ACTIVITY

DIANE RUSSELE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



04-20-2004

Key Docket No. 021288-002120US  
Client's Ref. No. P1080US20

Form PTO-1595

(Rev. 10-02)

OMB No. 0651-0027 (exp. 5/31/2002)

Re



102725328

U.S. Department of Commerce  
U.S. Patent and Trademark Office

Tab settings ⇨⇨⇨▼

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Baogen Wu

2. Name and address of receiving party(ies)

Name: IRM LLC

Street Address: P.O. Box HM 2388

City: Hamilton HM LX Country: BERMUDA

Additional name(s) and address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No.

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Execution Date: 3/01/04, 3/03/04, 3/01/04, 2/19/04, 2/16/04,  
2/10/04, 3/08/04, 3/08/04, 3/04/04, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/690,873

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffry S. Mann, Ph.D.  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Jeffry S. Mann, Ph.D.

Name of Person Signing

Atty. Reg. No. 42,837

Signature

April 14, 2004

Date

Total number of pages including cover sheet, attachments and documents: 8

Mail documents to be recorded with required cover sheet information to:

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04/19/2004 DBYRNE 00000058 201430 10690873

01 FC:8021 40.00 DA

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Yun He  
Truc Ngyuen  
Kelli L. Kuhen  
David Archer Ellis  
Tao Jiang  
Xiaohui He  
Kunyong Yang  
Badry Bursulaya

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Baogen Wu of 3969 Nobel Dr., #278, San Diego, CA 92122; Yun He of 10632 Senda Acuario, San Diego, CA 92130; Truc Nguyen of 11112 Vista Sorrento Parkway, Apt. 209, San Diego, CA 92130; Kelli L. Kuhen of 2343-1 Caringa Way, Carlsbad, CA 92009; David Archer Ellis of 6227 Caminito Carrena, San Diego, CA 92122; Tao Jiang of 10721 Calle Mar De Mariposa, San Diego, CA 92130; Xiaohui He of 12461 Dormouse Road, San Diego, CA 92129; Kunyong Yang of 5240 Fiore Terrace, Apt. 1108, San Diego, CA 92122; Badry Bursulaya of 4018 Nobel Dr., #301, San Diego, CA 92122 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: PYRROLIDONES WITH ANTI-HIV ACTIVITY

Date(s) of execution of Declaration: 2/12/04, 3/03/04, 3/01/04, 2/19/04  
2/16/04, 2/10/04, 3/08/04, 3/08/04, 3/04/04

Filing Date: October 21, 2003

Application No.: 10/690,873; and

WHEREAS, IRM LLC, a Delaware LLC, located at P.O. Box HM 2388, Hamilton HM LX, BERMUDA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 3/3/04

*Yun He*  
Yun He

STATE OF CALIFORNIA )  
 )  
COUNTY OF ) SS.

On 3/3/04, before me, OFELIA ROSADO,  
personally appeared Yun He, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



*Ofelia Rosado*  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 3/1/04

*Truc Nguyen*  
Truc Nguyen

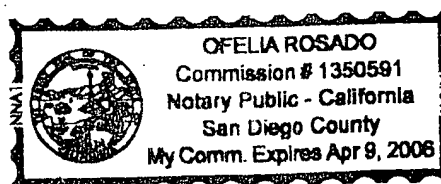
STATE OF CALIFORNIA )  
 )  
COUNTY OF ) SS.

On 3/1/04, before me, OFELIA ROSADO, NOTARY,  
personally appeared Truc Nguyen, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

*Ofelia Rosado*  
NOTARY PUBLIC

My Commission Expires: 4/9/06





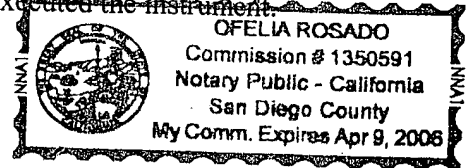
Dated: 02/19/04

Kelli L. Kuhen  
Kelli L. Kuhen

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On 2/19/04, before me, Ofelia Rosado (OFELIA ROSADO), personally appeared Kelli L. Kuhen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 02/16/04

David Archer Ellis  
David Archer Ellis

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On February 16, 2004, before me, OFELIA ROSADO, personally appeared David Archer Ellis, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06



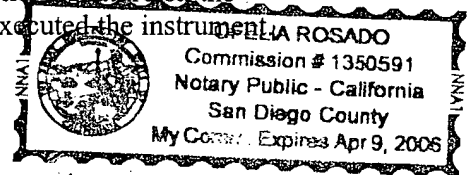
Dated: 2/10/04

  
Tao Jiang

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                 )     ss.

On February 10, 2003, before me, OFELIA ROSADO,  
personally appeared Tao Jiang, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.


WITNESS my hand and official seal.



  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 03/08/04

  
Xiaohui He

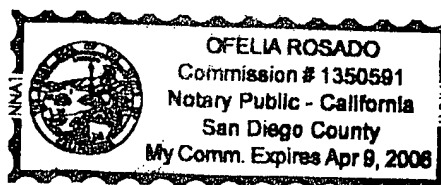
STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                 )     ss.

On 3/8/04, before me, OFELIA ROSADO,  
personally appeared Xiaohui He, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
NOTARY PUBLIC

My Commission Expires: 4/9/06



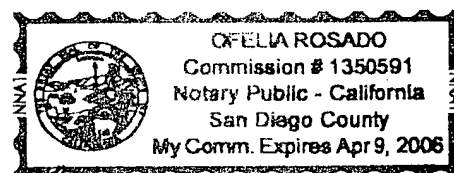
Dated: 03/08/04

Kunyong Yang  
Kunyong Yang

STATE OF CALIFORNIA )  
 )  
COUNTY OF ) SS.

On 3/8/04, before me, OFELIA ROSADO,  
personally appeared Kunyong Yang, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 3/4/04

B. Bursulaya  
Badry Bursulaya

STATE OF CALIFORNIA )  
 )  
COUNTY OF ) SS.

On 3/4/04, before me, OFELIA ROSADO,  
personally appeared Badry Bursulaya, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and  
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her  
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06



Assignment

Attorney Docket No.: 021288-002120US

Page 6

Dated: March 1, 2004

Baogen Wu

Baogen Wu

STATE OF CALIFORNIA )

ss.

COUNTY OF )

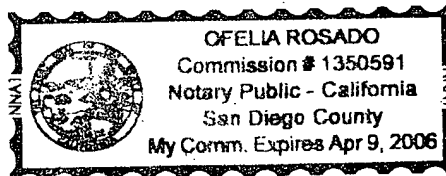
On 3/1/04, before me, OFELIA ROSADO,  
personally appeared Baogen Wu, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

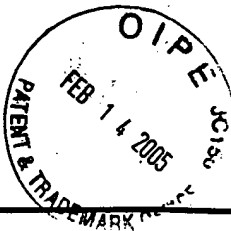
WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

60136351 v1





PTO/SB/96 (08-03)

Attorney Docket No. 021288-002120US

Client Ref. No.: P1080US20

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Baogen Wu, et al.Application No./Patent No.: 10/690,873Filed/Issue Date: October 21, 2003Entitled: PYRROLIDONES WITH ANTI-HIV ACTIVITYIRM LLCa Delaware LLC

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Baogen Wu, Yun He, Truc Nguyen,  
Kelli L. Kuhen, David Archer Ellis,  
Tao Jiang

To: IRM LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel 013902, Frame 0640, or for which a copy thereof is attached.

2. From: Baogen Wu, Yun He, Truc Nguyen,  
Kelli L. Kuhen, David Archer Ellis,  
Tao Jiang, Xiaohui He, Kunyong Yang,  
Badry Bursulaya

To: IRM LLC

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_

To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

- ☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

3/20/04

Date

858-812-1547

Telephone number

Timothy L. Smith

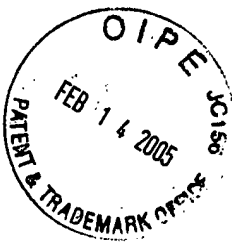
Typed or printed name

[Signature]

Signature

General Power of Attorney (attached)

Title



Attorney Docket No.: 021288-002120US

Client Reference No.: P1080US20

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Baogen Wu of 3969 Nobel Dr., #278, San Diego, CA 92122; Yun He of 10632 Senda Acuario, San Diego, CA 92130; Truc Nguyen of 11112 Vista Sorrento Parkway, Apt. 209, San Diego, CA 92130; Kelli L. Kuhen of 2343-1 Caringa Way, Carlsbad, CA 92009; David Archer Ellis of 6227 Caminito Carrena, San Diego, CA 92122; Tao Jiang of 10721 Calle Mar De Mariposa, San Diego, CA 92130; Xiaohui He of 12461 Dormouse Road, San Diego, CA 92129; Kunyong Yang of 5230 Fiore Terrace, Apt. 1108, San Diego, CA 92122; Badry Bursulaya of 4018 Nobel Dr., #301, San Diego, CA 92122 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: PYRROLIDONES WITH ANTI-HIV ACTIVITY

Date(s) of execution of Declaration: 2/12/04, 3/03/04, 3/01/04, 2/19/04  
2/16/04, 2/10/04, 3/08/04, 3/08/04, 3/04/04

Filing Date: October 21, 2003

Application No.: 10/690,873; and

WHEREAS, IRM LLC, a Delaware LLC, located at P.O. Box HM 2388, Hamilton HM LX, BERMUDA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

*Handwritten signature*  
03/08/04

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 3/3/04

*Yun He*  
Yun He

STATE OF CALIFORNIA )

ss.

COUNTY OF )

On 3/3/04, before me, OFELIA ROSADO,  
personally appeared Yun He, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



*Ofelia Rosado*  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 3/1/04

*Truc Nguyen*  
Truc Nguyen

STATE OF CALIFORNIA )

ss.

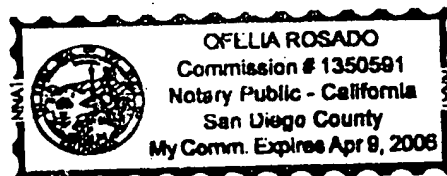
COUNTY OF )

On 3/1/04, before me, OFELIA ROSADO, NOTARY,  
personally appeared Truc Nguyen, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

*Ofelia Rosado*  
NOTARY PUBLIC

My Commission Expires: 4/9/06



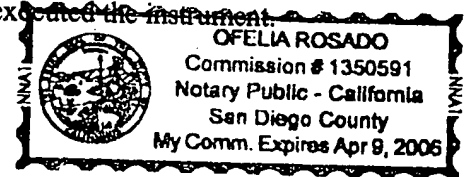
Dated: 02/19/04

Kelli L. Kuhen  
Kelli L. Kuhen

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On 2/19/04, before me, Ofelia Rosado (OFELIA ROSADO), personally appeared Kelli L. Kuhen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 02/16/04

David Archer Ellis  
David Archer Ellis

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On February 16, 2004, before me, OFELIA ROSADO, personally appeared David Archer Ellis, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06





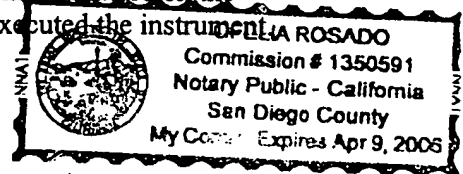
Dated: 2/10/09

Tao Jiang  
Tao Jiang

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On February 10<sup>th</sup>, 2003, before me, OFELIA ROSADO,  
personally appeared Tao Jiang, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 3/08/04

Xiaohui He  
Xiaohui He

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On 3/8/04, before me, OFELIA ROSADO,  
personally appeared Xiaohui He, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06



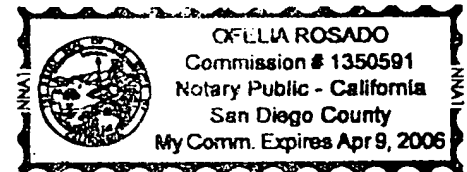
Dated: 03/08/04

Kunyong Yang  
Kunyong Yang

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On 3/8/04, before me, OFELIA ROSADO,  
personally appeared Kunyong Yang, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 3/4/04

B. Bursulaya  
Badry Bursulaya

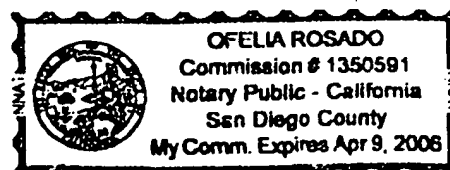
STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On 3/4/04, before me, OFELIA ROSADO,  
personally appeared Badry Bursulaya, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and  
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her  
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06



Dated: March 1, 2004

Baogen Wu

Baogen Wu

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                 )     ss.

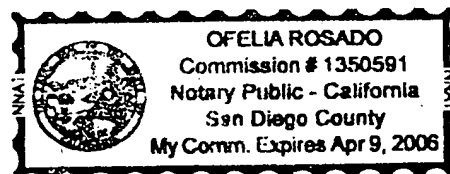
On 3/1/04, before me, OFELIA ROSADO,  
personally appeared Baogen Wu, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

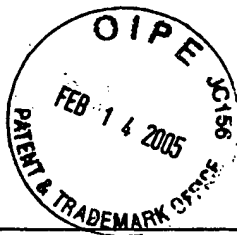
My Commission Expires: 4/9/06

60136351 v1





UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



021288-0021  
JSM, SF

AUGUST 26, 2003

PTAS  
TOWNSEND AND TOWNSEND AND CREW LLP  
JEFFRY S. MANN, PH.D.  
2 EMBARCADERO CENTER  
8TH FLOOR  
SAN FRANCISCO, CA 94111-3834

Deputy Under Secretary of Commerce For Intellectual Property and  
Deputy Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov



\*102407001A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/31/2003

REEL/FRAME: 013899/0263  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WU, BAOGEN

DOC DATE: 01/13/2003

ASSIGNOR:

HE, YUN

DOC DATE: 01/23/2003

ASSIGNOR:

NGYUEN, TRUC

DOC DATE: 03/03/2003

ASSIGNOR:

KUHEN, KELLI L.

DOC DATE: 01/24/2003

ASSIGNOR:

ELLIS, DAVID ARCHER

DOC DATE: 02/28/2003

ASSIGNOR:

JIANG, TAO

DOC DATE: 02/24/2003

ASSIGNEE:

IRM LLC

P.O. BOX HM 2388

HAMILTON HM LX, BERMUDA

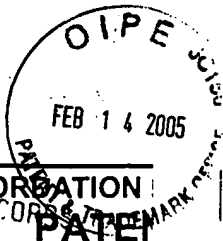
DOCKETED BY b3

013899/0263 PAGE 2

SERIAL NUMBER: 60422619  
PATENT NUMBER:

FILING DATE: 10/30/2002  
ISSUE DATE:

SAUNDRA BALLENGER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



04-02-2003

021288-002110US

Form PTO-1595

(Rev. 10-02)

OMB No. 0651-0027 (exp. 6/30/2005)

OFFICE OF PUBLIC RECORDS  
RECORDATION  
PATENTDepartment of Commerce  
Patent and Trademark Office

Tab settings → → →

2003 MAR 31 AM 10:56

102407001

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Baogen Wu  
Yun He  
Truc Ngyuen  
Kelli L. Kuhen  
David Archer Ellis  
Tao Jiang

FINANCE SECTION

3-31-03

2. Name and address of receiving party(ies)

Name: IRM LLCStreet Address: P.O. BOX HM 2388City: Hamilton HM LXCountry: BERMUDAAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No.Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: 1/13/03, 1/23/03, 3/03/03, 1/24/03, 2/28/03, and 2/24/03, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 60/422,619

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffry S. Mann, Ph.D.  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-02006. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \_\_\_\_\_ \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jeffry S. Mann, Ph.D.Name of Person Signing  
Atty. Reg. No. 42,837  
SignatureMarch 25, 2003

Date

Total number of pages including cover sheet, attachments and document

4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

**ASSIGNMENT OF PROVISIONAL PATENT APPLICATION**

**JOINT**

WHEREAS, Baogen Wu of 7588 Charmant Dr., Apt. 1924, San Diego, CA 92122; Yun He of 10632 Senda Acuario, San Diego, CA 92130; Truc Ngyuen of 1561 Live Oak Rd., Apt. 182, Vista, CA 92083; Kelli L. Kuhen of 2340 Carol View Dr., Apt. 107, Cardiff by the Sea, CA 92007; David Archer Ellis of 1631 State St., #2, San Diego, CA 92101; Tao Jiang of 10721 Calle Mar De Mariposa, San Diego, CA 92130 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified provisional patent application:

Title of Invention:      PYRROLIDONES WITH ANTI-HIV ACTIVITY

Filing Date:              October 30, 2002

Application No.:          60/422,619; and

WHEREAS, IRM LLC, a Delaware LLC, located at P.O. Box HM 2388, Hamilton HM LX, BERMUDA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

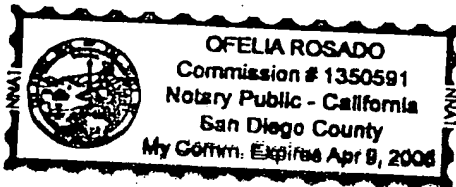
Dated: 1/13/03

Baogen Wu  
Baogen Wu

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF                    )     ss.

On 1/13/03, before me, OFELIA ROSADO,  
personally appeared Baogen Wu, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 1/23/03

Yun He  
Yun He

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF San Diego     )     ss.

On 1/23/03, before me, OFELIA ROSADO,  
personally appeared Yun He, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06





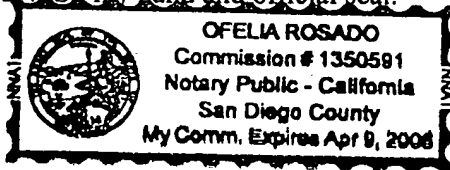
Dated: 3/3/03

Truc Ngyuen  
Truc Ngyuen

STATE OF CALIFORNIA )  
 )  
COUNTY OF San Diego ) SS.

On 3/3/03, before me, OFELIA ROSADO,  
personally appeared Truc Ngyuen, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 1/24/03

Kelli L. Kuhen  
Kelli L. Kuhen

STATE OF CALIFORNIA )  
 )  
COUNTY OF San Diego ) SS.

On 1/24/03, before me, OFELIA ROSADO,  
personally appeared Kelli L. Kuhen, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06



Dated: 2.28.03

  
David Archer Ellis

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF San Diego     )     ss.

On 2/28/03, before me, OFELIA ROSADO,  
personally appeared David Archer Ellis, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and  
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her  
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.



  
NOTARY PUBLIC

My Commission Expires: 4/9/06


Dated: 02/24/03

  
Tao Jiang

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF San Diego     )     ss.

On Feb. 24<sup>th</sup>, 2003, before me, OFELIA ROSADO,  
personally appeared Tao Jiang, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
NOTARY PUBLIC

My Commission Expires: 4/9/06





UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

01288-002100VS JS

AUGUST 26, 2003

Deputy Under Secretary of Commerce For Intellectual Property and  
Deputy Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

PTAS  
TOWNSEND AND TOWNSEND AND CREW LLP  
JEFFRY S. MANN  
TWO EMBARCADERO CENTER, 8TH FLOOR  
SAN FRANCISCO, CA 94111-3834



\*102407019A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/31/2003

REEL/FRAME: 013902/0640  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS) ..

ASSIGNOR:

WU, BAOGEN

DOC DATE: 03/07/2003

ASSIGNOR:

HE, YUN

DOC DATE: 01/23/2003

ASSIGNOR:

NGYUEN, TRUC

DOC DATE: 03/03/2003

ASSIGNOR:

KUHEN, KELLI L.

DOC DATE: 01/24/2003

ASSIGNOR:

ELLIS, DAVID ARCHER

DOC DATE: 02/28/2003

ASSIGNOR:

JIANG, TAO

DOC DATE: 02/24/2003

ASSIGNEE:

IRM LLC

P.O. BOX HM 2388

HAMILTON HM LX, BERMUDA

013902/0640 PAGE 2

SERIAL NUMBER: 60420480  
PATENT NUMBER:

FILING DATE: 10/21/2002  
ISSUE DATE:

VIOLET MCCOY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-02-2003

288-002100US

Form PTO-1595

(Rev. 10-02)

OMB No. 0651-0027 (exp. 6/30/2005)

OFFICE OF  
RECORDATION FORM  
PATENTS  
2003 MAR 31 AM 10 56

102407019

Department of Commerce  
and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Baogen Wu  
Yun He  
Truc Ngyuen  
Kelli L. Kuhen  
David Archer Ellis  
Tao Jiang

## 2. Name and address of receiving party(ies)

Name: IRM LLC

Street Address: P.O. Box HM 2388

City: Hamilton HM LX Country: BERMUDA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No.Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: 3/07/03, 1/23/03, 3/03/03, 1/24/03, 2/28/03, and 2/24/03, respectively

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 60/420,480

B. Patent No(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffry S. Mann, Ph.D.  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

## 6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

04/01/2003 ECOSPER 00000025 201430 60420480

01 C:3021 40.00 CH

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Jeffry S. Mann, Ph.D.

Name of Person Signing  
Atty. Reg. No. 42,837

Signature

March 25, 2003

Date

Total number of pages including cover sheet, attachments and document

5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

**ASSIGNMENT OF PROVISIONAL PATENT APPLICATION**

**JOINT**

WHEREAS, Baogen Wu of 7588 Charmant Dr., Apt. 1924, San Diego, CA 92122; Yun He of 10632 Senda Acuario, San Diego, CA 92130; Truc Ngyuen of 1561 Live Oak Rd., Apt. 182, Vista, CA 92083; Kelli L. Kuhen of 2340 Carol View Dr., Apt. 107, Cardiff by the Sea, CA 92007; David Archer Ellis of 1631 State St., #2, San Diego, CA 92101; Tao Jiang of 10721 Calle Mar De Mariposa, San Diego, CA 92130 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified provisional patent application:

Title of Invention:      PYRROLIDONES WITH ANTI-HIV ACTIVITY  
Filing Date:              October 21, 2002  
Application No.:          60/420,480; and

WHEREAS, IRM LLC, a Delaware LLC, located at P.O. Box HM 2388, Hamilton HM LX, BERMUDA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

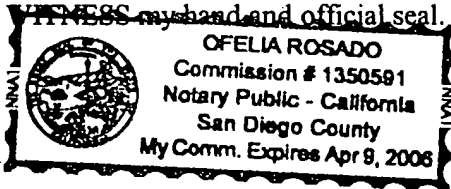
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 3/7/2003

Baogen Wu  
Baogen Wu

STATE OF CALIFORNIA )  
 )  
COUNTY OF San Diego ) ss.

On March 7<sup>th</sup> 2003, before me, OFELIA ROSADO,  
personally appeared Baogen Wu, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 1/23/03

Yun He  
Yun He

STATE OF CALIFORNIA )  
 )  
COUNTY OF San Diego ) ss.

On 1/23/03, before me, OFELIA ROSADO,  
personally appeared Yun He, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06



Dated: 3/3/03

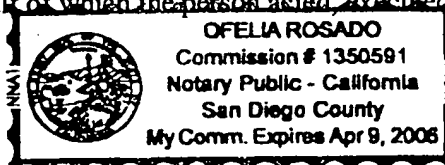
Truc Ngyuen  
Truc Ngyuen

STATE OF CALIFORNIA )  
COUNTY OF San Diego )

ss.

On 3/3/03, before me, OFELIA ROSADO,  
personally appeared Truc Ngyuen, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 1/24/03

Kelli L. Kuhen  
Kelli L. Kuhen

STATE OF CALIFORNIA )  
COUNTY OF San Diego )

ss.

On 1/24/03, before me, OFELIA ROSADO,  
personally appeared Kelli L. Kuhen, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

OFELIA ROSADO  
NOTARY PUBLIC

My Commission Expires: 4/9/06





Dated: 02.28.03

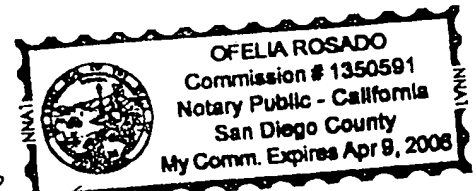


David Archer Ellis

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF San Diego     )  
                                      )     SS.

On 2/28/03, before me, OFELIA ROSADO,  
personally appeared David Archer Ellis, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and  
acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her  
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.



Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

Dated: 02/24/03



Tao Jiang

STATE OF CALIFORNIA     )  
                                      )  
COUNTY OF San Diego     )  
                                      )     SS.

On February 24, 2003, before me, OFELIA ROSADO,  
personally appeared Tao Jiang, personally known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Ofelia Rosado  
NOTARY PUBLIC

My Commission Expires: 4/9/06

